



CITY OF LODI

COUNCIL COMMUNICATION

AGENDA TITLE: Ratify the 1999/2000 Annual Financial Plan for the San Joaquin County Council of Governments

MEETING DATE: May 5, 1999

PREPARED BY: Public Works Director

RECOMMENDED ACTION: That the City Council adopt the attached resolution ratifying the 1999/2000 Annual Financial Plan (AFP) for the San Joaquin County Council of Governments (COG).

BACKGROUND INFORMATION: Enclosed is a summary of COG's AFP for fiscal year 1999/2000. The COG Board adopted the AFP on March 25, 1999. Pursuant to COG's Joint Powers Agreement, the budget is to be ratified by the City of Lodi following adoption by the COG Board.

FUNDING: Not applicable.

Richard C. Prima, Jr.
Public Works Director

Prepared by Carlos Tobar, Transportation Manager

RCP/CT/lm

Attachments

cc: City Attorney
Steve Dial, Dir. of Adm./Chief Financial Officer, COG

APPROVED: _____

H. Dixon Flynn - City Manager



San Joaquin County Council of Governments

Member Agencies: Cities of Escalon, Lathrop, Lodi, Manteca, Ripon, Stockton, Tracy, County of San Joaquin

APR 08 1999
City Manager's Office

April 5, 1999

Mr. Dixon Flynn
City Of Lodi
P.O. Box 3006
Lodi, CA 95241-1910

Dear Mr. Flynn:

Enclosed is a summary of the San Joaquin Council of Governments' Annual Financial Plan (AFP) for Fiscal Year 1999/2000. Pursuant to the COG's Joint Powers Agreement, following adoption of the budget by our Board, it is to be delivered to member agencies for ratification by each governing body. The Board unanimously adopted the Plan on March 25, 1999. A copy of the adopting resolution is enclosed.

Therefore, I request that you place the COG's Annual Financial Plan for Fiscal Year 1999/2000 on a forthcoming agenda prior to June 30, 1999 for your Council's approval.

The Annual Financial Plan implements the FY 1999/2000 Unified Work Program (UWP) also adopted by the COG Board on March 25, 1999. The UWP continues COG's commitment to:

1. Maintaining Air Quality Conformity
2. Delivery of Measure K
3. Research and Data Center
4. Habitat Planning
5. Transportation Modeling
6. Regional Transportation Plan/Strategic Investment Planning
7. Congestion Management Planning
8. Local Assistance
9. Intergovernmental Coordination/Cooperation

Mr. Dixon Flynn

April 5, 1999

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The enclosed summary identifies revenues and expenditures anticipated for the year including both operating and pass-through revenues and expenditures. Pass-through are funds over which COG has fiduciary responsibility such as Transportation Development Act, TEA-21 and the majority of Measure K funds. The summary compares the FY 1999/2000 budget with the FY 1998/99 budget, both originally adopted and amended.

The following assumptions are incorporated in the budget:

1. Work will not begin and expenses will not be incurred unless anticipated revenues sources that are attained i.e., Air District grants, Freeway Service Patrol, etc.
2. We anticipate being fully staffed for the entire fiscal year, therefore the Salaries and Benefits cost category is fully funded.
3. Five percent has been included in Salaries and Benefits for merit based increases to be determined by the Executive Director. No cost of living adjustment will be considered this fiscal year.

LINE ITEMS WITH SIGNIFICANT CHANGE

The **Salaries and Benefits** group increases 12.6% reflecting previously approved full staffing for the entire year and 5% for merit based salary adjustments.

Services and Supplies increases 5.9%. The majority of this increase is in the **Rents Structures and Grounds** which has been increased to reflect possible future office space acquisition.

Professional Services decreases 14.0% due to the partial completion of the ITS Strategic Plan.

Fixed Assets are increased from \$25,100 to \$70,000 for potential leasehold improvements.

The Annual Financial Plan is the instrument through which revenues are brought into COG, programmed and then spent. The proposed budget is 3.8% more than the currently adopted amended budget. Sufficient revenue sources exist as presented in the report to support the budget.

Mr. Dixon Flynn

April 5, 1999

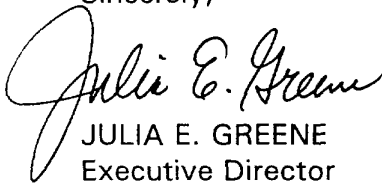
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COG staff would be pleased to appear before your policymakers to answer any questions they might have regarding this matter.

Please let me know when this will be appearing before the Board. If you have any questions regarding this matter, don't hesitate to contact me at 468-3913.

Thank you for your assistance.

Sincerely,

A handwritten signature in cursive script that reads "Julia E. Greene". The signature is fluid and elegant, with the first letter of each name being capitalized and prominent.

JULIA E. GREENE
Executive Director

San Joaquin Council of Governments
ANNUAL FINANCIAL PLAN

Fiscal Year 99/00
Adopted March 25, 1999

CHAIR

Gary Podesto, Mayor, City of Stockton

VICE-CHAIR

John Harris, Mayor Pro Tem, City of Manteca

BOARD OF DIRECTORS

Councilmember Phillip Pennino	City of Lodi
Councilmember Richard Nickerson, MD	City of Stockton
Councilmember Gloryanna Rhodes	City of Lathrop
Mayor Dan Bilbrey	City of Tracy
Supervisor Robert Cabral	County of San Joaquin
Supervisor Jack Sieglock	County of San Joaquin
Councilmember David Ennis	City of Escalon
Councilmember Curt Pernice	City of Ripon

EX OFFICIO DIRECTORS

Mark Leja	Caltrans District 10
Martha Jean Shaver	San Joaquin Regional
	Transit District
Ron Coale	Port of Stockton

SUBMITTED BY:

Julia E. Greene
Executive Director

Steve Dial
Director of Administration/
Chief Financial Officer

San Joaquin County Council of Governments
ANNUAL FINANCIAL PLAN
Fiscal Year 1999/2000

	FY 97/98	FY 98/99	FY 98/99	FY 99/00
REVENUES	Actual	Adopted 4/23/98	Amend#1(2/11/99)	Adopted (3/25/99)
Federal Grants	\$886,229	\$1,072,300	\$1,022,300	\$1,055,518
State Grants	\$526,086	\$221,300	\$895,300	\$731,700
Local	\$634,738	\$1,049,900	\$996,900	\$1,237,900
Interest	\$26,910	\$0	\$0	\$0
Other	\$275,368	\$50,000	\$50,000	\$50,000
COG OPERATING REVENUES	\$2,349,331	\$2,393,500	\$2,965,500	\$3,075,118
Federal Pass-Through	\$6,325,562	\$6,539,000	\$6,539,000	\$7,100,000
State Pass-Through	\$894,289	\$970,673	\$970,673	\$981,000
Local Pass-Through	\$33,986,434	\$38,340,000	\$38,340,000	\$41,578,440
Sales Tax Revenue Bonds	\$65,000,000	\$65,000,000	\$65,000,000	\$65,000,000
TOTAL COG REVENUE	\$108,555,616	\$113,243,173	\$113,815,173	\$117,734,558
EXPENDITURES				
Salaries & Benefits	\$1,223,953	\$1,357,766	\$1,464,183	\$1,642,814
Services & Supplies	\$357,414	\$420,780	\$429,930	\$455,230
Office Expense	\$81,784	\$100,700	\$106,900	\$106,900
Communications	\$35,456	\$35,000	\$21,200	\$20,300
Memberships	\$14,786	\$18,720	\$19,370	\$21,370
Maintenance - Equipment	\$32,815	\$25,000	\$18,000	\$18,000
Rents & Leases - Equipment	\$63,091	\$76,700	\$94,300	\$89,500
Transportation, Travel & Training	\$21,861	\$49,100	\$49,100	\$49,100
Motor Pool-R/S Incentive-Bus Passes	\$3,150	\$2,500	\$2,500	\$2,500
Allocated Service Cost	\$3,916	\$4,100	\$4,100	\$4,100
Publications & Legal Notices	\$1,391	\$2,000	\$4,500	\$4,500
Insurance	\$20,424	\$22,000	\$25,000	\$25,000
Building Maintenance	\$1,090	\$3,500	\$3,500	\$3,500
Rents - Structures & Grounds	\$73,827	\$76,460	\$76,460	\$105,460
Education Reimbursement	\$3,823	\$5,000	\$5,000	\$5,000
Professional Services	\$747,196	\$531,300	\$1,046,287	\$903,476
Fixed Assets	\$20,768	\$20,000	\$25,100	\$70,000
Unallocated/Reserve	\$0	\$63,654	\$0	\$3,598
COG OPERATING EXPENDITURES	\$2,349,331	\$2,393,500	\$2,965,500	\$3,075,118
Pass-Through	\$106,206,285	\$110,844,421	\$110,844,421	\$114,659,440
TOTAL COG EXPENDITURES	\$108,555,616	\$113,243,173	\$113,815,173	\$117,734,558
Excess (Deficit) Revenues	\$0	\$0	\$0	(\$0)
Over Expenditures (Operating)				

RESOLUTION
SAN JOAQUIN COUNTY COUNCIL OF GOVERNMENTS



R-99-53

RESOLUTION APPROVING THE ADOPTION OF THE 1999-2000
UNIFIED WORK PROGRAM AND THE FY 1999-2000 ANNUAL FINANCIAL PLAN
FOR THE SAN JOAQUIN COUNCIL OF GOVERNMENTS

WHEREAS, annually the San Joaquin Council of Governments acting as the Metropolitan Planning Agency, and the Regional Transportation Planning Agency for San Joaquin County adopts a Unified Work Program, and

WHEREAS, that Unified Work Program identifies the funding sources that the agency will utilize in the coming year, and the work tasks and products that the agency will produce, and

WHEREAS, this Unified Work Program must be submitted to the required funding agencies for their review and approval, and

WHEREAS, the Council of Governments must assure that the planning tasks identified are in compliance with all State and Federal laws and regulations, and are coordinated with all effected agencies, and communities on a regional basis, and

WHEREAS, it is also essential that the COG prepare a budget, constrained by the resources available, to carry out and fund the work scheduled in the fiscal year.

NOW THEREFORE BE IT RESOLVED that the San Joaquin Council of Governments adopts the attached 1999-2000 Unified Work Program and self-certifies the following:

The San Joaquin Council of Governments hereby certifies its planning process to be in accordance with all applicable requirements of the Federal government, as follows:

1. Section 134 of Title 23, U.S.C. Section 8 of the Federal Transit Act (49 U.S.C. app. 1607) and 23 CFR 450 Subpart C;
2. Sections 174 and 176© and (d) of the Clean Air Act (42 U.S.C. 7504, 7506 © and (d));
3. Title VI of the Civil Rights Act of 1964 and the Title VI assurance executed by each State under 23 U.S.C. 324 and 29 U.S.C 794;
4. Section 1003(b) of the Intermodal Surface Transportation Efficiency Act to 1991 (Pub. L. 102-240) regarding the involvement of disadvantaged business enterprises in the FHWA and the FTA funded planning process (Sec.105(f), Pub. L. 97-424, 96 Stat. 2100, 49 CFR Part 23); and
5. The provisions of the Americans with Disabilities Act of 1990 (Pub. L. 101-336, 104 Stat. 327, as amended) and USDOT regulations "Transportation for Individuals With Disabilities" (49 CFR Parts 27, 37, and 38).

Resolution #R-99-53
Page Two

BE IT FURTHER RESOLVED that the San Joaquin County Council of Governments does hereby approve the fiscal year 1999-2000 budget and forward such to its member governments for their review and ratification.

PASSED AND ADOPTED this 25th day of March, 1999 by the following vote of the San Joaquin Council of Governments, to wit:

AYES: Supervisor Cabral, San Joaquin County; Vice Chair Harris, Manteca; Councilperson Nickerson, Stockton; Councilperson Pennino, Lodi; Councilperson Rhodes, Lathrop; Supervisor Sieglock, San Joaquin County; Chairman Mayor Podesto, Stockton.

NOES: None

ABSENT: Councilperson Pernice, Ripon; Councilperson Ennis, Escalon; Councilperson Bilbrey, Tracy.


GARY PODESTO
Chairman

RESOLUTION NO. 99-71

A RESOLUTION OF THE LODI CITY COUNCIL
RATIFYING THE SAN JOAQUIN COUNTY COUNCIL OF
GOVERNMENTS (COG) 1999/2000 ANNUAL FINANCIAL
PLAN

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WHEREAS, the San Joaquin County Council of Governments (COG) has approved their Fiscal Year 1999-2000 Budget by adopting Resolution No. R-99-53 as shown on the attached marked Exhibit A; and

WHEREAS, the San Joaquin County Council of Governments (COG) is required to forward such Resolution to its member Governments for their review and ratification.

NOW, THEREFORE, BE IT RESOLVED, that the Lodi City Council hereby ratifies the 1999-2000 Annual Financial Plan for the San Joaquin County Council of Governments (COG).

Dated: May 5, 1999

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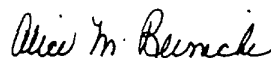
I hereby certify that Resolution No. 99-71 was passed and adopted by the Lodi City Council in a regular meeting held May 5, 1999 by the following vote:

AYES: COUNCIL MEMBERS – Hitchcock, Mann, Nakanishi, Pennino and
Land (Mayor)

NOES: COUNCIL MEMBERS – None

ABSENT: COUNCIL MEMBERS – None

ABSTAIN: COUNCIL MEMBERS – None


ALICE M. REIMCHE
City Clerk

RESOLUTION SAN JOAQUIN COUNTY COUNCIL OF GOVERNMENTS



R-99-53

RESOLUTION APPROVING THE ADOPTION OF THE 1999-2000 UNIFIED WORK PROGRAM AND THE FY 1999-2000 ANNUAL FINANCIAL PLAN FOR THE SAN JOAQUIN COUNCIL OF GOVERNMENTS

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WHEREAS, that Unified Work Program identifies the funding sources that the agency will utilize in the coming year, and the work tasks and products that the agency will produce, and

WHEREAS, this Unified Work Program must be submitted to the required funding agencies for their review and approval, and

WHEREAS, the Council of Governments must assure that the planning tasks identified are in compliance with all State and Federal laws and regulations, and are coordinated with all effected agencies, and communities on a regional basis, and

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1. Section 134 of Title 23, U.S.C. Section 8 of the Federal Transit Act (49 U.S.C. app. 1607) and 23 CFR 450 Subpart C;
2. Sections 174 and 176 and (d) of the Clean Air Act (42 U.S.C. 7504, 7506 and (d));
3. Title VI of the Civil Rights Act of 1964 and the Title VI assurance executed by each State under 23 U.S.C. 324 and 29 U.S.C 794;
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EXHIBIT A

Resolution #R-99-53
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AYES: Supervisor Cabral, San Joaquin County; Vice Chair Harris, Manteca; Councilperson Nickerson, Stockton; Councilperson Pennino, Lodi; Councilperson Rhodes, Lathrop; Supervisor Sieglock, San Joaquin County; Chairman Mayor Podesto, Stockton.

NOES: None

ABSENT: Councilperson Pernice, Ripon; Councilperson Ennis, Escalon; Councilperson Bilbrey, Tracy.


GARY PODESTO
Chairman

San Joaquin County Council of Governments
ANNUAL FINANCIAL PLAN
Fiscal Year 1999/2000

EXHIBIT A

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Professional Services	\$747,195	\$531,300	\$1,046,287	\$903,476
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Over Expenditures (Operating)				

CITY COUNCIL

KEITH LAND, Mayor
STEPHEN J. MANN
Mayor Pro Tempore
SUSAN HITCHCOCK
ALAN S. NAKANISHI
PHILLIP A. PENNINO

CITY OF LODI

CITY HALL, 221 WEST PINE STREET
P.O. BOX 3006
LODI, CALIFORNIA 95241-1910
(209) 333-6706
FAX (209) 333-6710

April 29, 1999

H. DIXON FLYNN
City Manager
ALICE M. REIMCHE
City Clerk
RANDALL A. HAYS
City Attorney

Mr. Steve Dial
Director of Administration/Chief Financial Officer
San Joaquin County Council of Governments
6 South El Dorado Street, Suite 400
Stockton, CA 95202

SUBJECT: Ratify the 1999/2000 Annual Financial Plan for the San Joaquin County Council of Governments

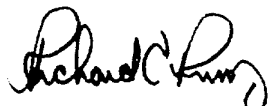
Enclosed is a copy of background information on an item on the City Council agenda of Wednesday, May 5, 1999. The meeting will be held at 7 p.m. in the City Council Chamber, Carnegie Forum, 305 West Pine Street.

This item is on the consent calendar and is usually not discussed unless a Council Member requests discussion. The public is given an opportunity to address items on the consent calendar at the appropriate time.

If you wish to write to the City Council, please address your letter to City Council, City of Lodi, P. O. Box 3006, Lodi, California, 95241-1910. Be sure to allow time for the mail. Or, you may hand-deliver the letter to City Hall, 221 West Pine Street.

If you wish to address the Council at the Council Meeting, be sure to fill out a speaker's card (available at the Carnegie Forum immediately prior to the start of the meeting) and give it to the City Clerk. If you have any questions about communicating with the Council, please contact Alice Reimche, City Clerk, at 333-6702.

If you have any questions about the item itself, please call Carlos Tobar at 333-6800, ext. 678.



Richard C. Prima, Jr.
Public Works Director

RCP/lm

Enclosure

cc: City Clerk ✓